

03-12-01

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	<b>069286.0102</b>	Total Pages	<b>86, &amp; 1 Check</b>
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First Named Inventor or Application Identifier

**STIEGLER, et al.**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No. **EL477922452US**

ADDRESS TO:

ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
WASHINGTON, D.C. 20231

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification in **GERMAN** Total Pages **[14]**  
(preferred arrangement set forth below)
  - Descriptive title of the invention (cover sheet)
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) Total Sheets **[3]**  
Total Pages **[17]**
4. ☒ Oath or Declaration
  - a. ☒ Newly executed (original or copy) **UNSIGNED**
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)

**[Note Box 5 below]**

  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy  
of the oath or declaration is supplied under Box 4b, is  
considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)

**ACCOMPANYING APPLICATION PARTS**

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above  
copies
8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement
  - ☐ Power of Attorney (when there is an assignee)
10. ☒ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449
  - ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity Statement(s)
  - ☐ Statement filed in prior application.  
Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other:
  - A) Check #730590 in the amount of \$710.00 (filing fee);
  - B) Substitute Specification under 37 CFR, 1.125;
  - C) Version with Marking to Show Changes Made;
  - D) Certificate of Mailing.

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    of prior application No.:
**18. CORRESPONDENCE ADDRESS**
☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

NAME	RONALD L. CHICHESTER (REG. NO. 36,765)				
	BAKER BOTTS L.L.P. (PTO CUSTOMER NO. 023640)				
ADDRESS	ONE SHELL PLAZA				
	901 LOUISIANA STREET				
CITY	HOUSTON,	STATE	TEXAS	ZIP CODE	77002-4995
COUNTRY	USA	TELEPHONE	713.229.1341	FAX	713.229.7741

bcr140us

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<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <p style="margin: 10px 0;">NOTE: Effective October 1, 1997 Patent fees are subject to annual revision</p>		Complete if Known	
		Application Number	
		Filing Date	
		First Named Inventor	
		Group Art Unit	
Examiner Name			
TOTAL AMOUNT OF PAYMENT		\$840.00	Attorney Docket Number
		069286.0102	

  

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account No.: <b>02-0383</b>  Deposit Account Name: <b>Baker Botts L.L.P. (023640)</b></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17     <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:  <input checked="" type="checkbox"/> Check     <input type="checkbox"/> Money Order     <input type="checkbox"/> Other</p>	<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>\$</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>\$</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td>\$130.00</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexam</td><td>\$</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td>\$</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td>\$</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within 1st month</td><td>\$</td></tr> <tr><td>116</td><td>380</td><td>216</td><td>190</td><td>Extension for reply within 2nd month</td><td>\$</td></tr> <tr><td>117</td><td>870</td><td>217</td><td>435</td><td>Extension for reply within 3rd month</td><td>\$</td></tr> <tr><td>118</td><td>1,360</td><td>218</td><td>680</td><td>Extension for reply within 4th month</td><td>\$</td></tr> <tr><td>128</td><td>1,850</td><td>228</td><td>925</td><td>Extension for reply within 5th month</td><td>\$</td></tr> <tr><td>119</td><td>300</td><td>219</td><td>150</td><td>Notice of Appeal</td><td>\$</td></tr> <tr><td>120</td><td>300</td><td>220</td><td>150</td><td>Filing a brief in support of an appeal</td><td>\$</td></tr> <tr><td>121</td><td>260</td><td>221</td><td>130</td><td>Request for oral hearing</td><td>\$</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td>\$</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td>\$</td></tr> <tr><td>141</td><td>1,210</td><td>241</td><td>605</td><td>Petition to revive - unintentional</td><td>\$</td></tr> <tr><td>142</td><td>1,210</td><td>242</td><td>605</td><td>Utility issue fee (or reissue)</td><td>\$</td></tr> <tr><td>143</td><td>430</td><td>243</td><td>215</td><td>Design issue fee</td><td>\$</td></tr> <tr><td>144</td><td>580</td><td>244</td><td>290</td><td>Plant issue fee</td><td>\$</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td>\$</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional apps.</td><td>\$</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Dis. Stmt.</td><td>\$</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>\$</td></tr> <tr><td>146</td><td>760</td><td>246</td><td>380</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td>\$</td></tr> <tr><td>149</td><td>760</td><td>249</td><td>380</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td>\$</td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td>\$</td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td>\$</td></tr> <tr><td colspan="5">*Reduced by Basic Filing Fee Paid</td><td></td></tr> <tr><td colspan="5" style="text-align: right;"><b>SUBTOTAL (3) \$130.00</b></td></tr> </tbody> </table> <p><b>Check #730590 is enclosed for the filing fee.</b></p> <p><b>Please charge the additional fee for English translation to the Baker Botts account 02-0383, Order No. 069286.0102.</b></p>	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	\$	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$	139	130	139	130	Non-English specification	\$130.00	147	2,520	147	2,520	For filing a request for reexam	\$	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	\$	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	\$	115	110	215	55	Extension for reply within 1st month	\$	116	380	216	190	Extension for reply within 2nd month	\$	117	870	217	435	Extension for reply within 3rd month	\$	118	1,360	218	680	Extension for reply within 4th month	\$	128	1,850	228	925	Extension for reply within 5th month	\$	119	300	219	150	Notice of Appeal	\$	120	300	220	150	Filing a brief in support of an appeal	\$	121	260	221	130	Request for oral hearing	\$	138	1,510	138	1,510	Petition to institute a public use proceeding	\$	140	110	240	55	Petition to revive - unavoidable	\$	141	1,210	241	605	Petition to revive - unintentional	\$	142	1,210	242	605	Utility issue fee (or reissue)	\$	143	430	243	215	Design issue fee	\$	144	580	244	290	Plant issue fee	\$	122	130	122	130	Petitions to the Commissioner	\$	123	50	123	50	Petitions related to provisional apps.	\$	126	240	126	240	Submission of Information Dis. 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Complete (if applicable)	
Typed or Printed Name	RONALD L. CHICHESTER
Registration Number	36,765
Signature	<i>Ronald L. Chichester</i>
Date	03/09/01
Deposit Account User ID	

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

PURSUANT TO 37 C.F.R. § 1.10, I HEREBY CERTIFY THAT THIS PATENT APPLICATION IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL POST OFFICE TO ADDRESSEE, IN AN ENVELOPE ADDRESSED TO:

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BOX PATENT APPLICATION  
WASHINGTON, D.C., 20231

ON MARCH 9, 2001.

*Ronald L. Chichester*

RONALD L. CHICHESTER (REG. No. 36,765)

MARCH 9, 2001

DATE

EL477922452US

EXPRESS MAIL LABEL

***APPLICANTS CLAIM PRIORITY UNDER 35 U.S.C. 119 TO GERMAN APPLICATION No. 100 11 330.3,  
FILED MARCH 10, 2000.***

INCLUDED IN THIS PATENT APPLICATION MAILING ARE:

PATENT APPLICATION TRANSMITTAL;  
FEE TRANSMITTAL, WITH DUPLICATE COPY FOR FEE PROCESSING;  
CHECK #730590 IN THE AMOUNT OF \$710.00 FOR FILING FEE;  
(BALANCE OF FEES TO BE CHARGED TO BAKER BOTTS L.L.P. ACCOUNT  
02-0383, AS NOTED ON TRANSMITTAL);  
14 PAGES OF SPECIFICATION DOCUMENTS, IN GERMAN;  
3 PAGES OF DRAWINGS;  
ORIGINAL ENGLISH TRANSLATION OF GERMAN PATENT APPLICATION;  
SUBSTITUTE SPECIFICATION UNDER 37 C.F.R. 1.125;  
VERSION WITH MARKING TO SPECIFICATION TO SHOW CHANGES;  
PRELIMINARY AMENDMENT;  
DECLARATION (UNSIGNED);  
RETURN POSTCARD TO ACKNOWLEDGE RECEIPT OF ABOVE ITEMS.

ATTORNEY DOCKET No. 069286.0102

HOU02:785268.1

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